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Attorney Docket No. 17810-513 (SCI-13)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FIRST-NAMED INVENTOR: Rosemary A. Fricker

FOR: METHODS FOR INDUCING *IN VIVO* PROLIFERATION AND MIGRATION
OF TRANSPLANTED PROGENITOR CELLS IN THE BRAIN

October 20, 2000
Boston, Massachusetts

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR FILING A CONTINUING UTILITY APPLICATION
UNDER 37 C.F.R. §1.53(b)

1. This is a request for filing a continuing application under 37 C.F.R. §1.53(b).
(Check the appropriate boxes and supply the requisite information)

This application is a:

☐ Continuation; ☐ Divisional; ☒ Continuation-in-part of prior application U.S.S.N. 09/339,093; and
U.S.S.N. 09/486,302 (the U.S. Nat'l. phase of PCT/US98/18597); and claims priority to provisional
application U.S.S.N. 60/160,553.

2. Priority to the above application(s) is claimed under:

☒ 35 U.S.C. §120
Prior application information:
Examiner: Baker, A.; Group Art Unit: 1632.

☐ 35 U.S.C. §119
Priority of application Serial No. _____, filed on _____ in _____ is claimed under
35 U.S.C. §119.

☐ The certified copy has been filed in prior application U.S.S.N. __/__, on [Date].
☐ The certified copy will follow.

APPLICATION ELEMENTS:

3. ☒ Specification and Drawings (Total pages: 51):
Specification (41 pages); Claims (2 pages); Abstract (1 page); and
Drawings: 8 sheets; FIGS. 1-8.

☐ Formal
☒ Informal

4. ☐ Nucleotide and/or Amino Acid Sequence Submission:
- ☐ *Computer-readable Copy:* The computer-readable copy of the Sequence Listing in the instant application is to be identical to that filed in application U.S.S.N. __/__, filed [filing date]. In accordance with 37 C.F.R. § 1.821(e), please use the computer-readable form filed in that application as the computer-readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the instant application.
- ☐ *Paper Copy:* A paper copy of the Sequence Listing is included in the copy of the originally-filed specification of the instant application.
5. ☐ Microfiche Computer Program (*Appendix*)
6. ☐ Oath or Declaration (Total pages:):
- (a) ☐ unexecuted
- (b) ☐ Copy from a prior application (37 C.F.R. §1.63(d))
7. ☐ Incorporation by Reference (*can use if Box 6(b) is checked*)
- The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 6(b), is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS:

8. ☐ English Translation Document (*if applicable*)
9. ☐ Information Disclosure Statement (IDS)
- ☐ Copy of IDS and PTO-1449 (___ pages)
- ☐ Copies of references cited
10. ☐ Statement Claiming Small Entity Status
- ☐ Copy of Statement filed in prior application (Status still proper and desired)
11. Fee Calculation:
- ☐ A Preliminary Amendment is enclosed herewith. Please enter the claim amendments prior to calculating the filing fee.

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$710.00
Total Claims (37 C.F.R. 1.16(c))	12	- 20 =	-8	\$ 18.00	0
Independent Claims (37 C.F.R. 1.16(b))	2	- 3 =	-1	\$80.00	0
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))				\$270.00	0
SUBTOTAL:					\$710.00
Reduction by 50% for filing by small entity:					\$355.00
TOTAL FEE:					\$355.00

12. ☒ A check in the amount of **\$355.00** is enclosed.

FIRST-NAMED INVENTOR: FRANK R. [REDACTED]
Request for Continuing Nonprovisional Application (37 C.F.R. §1.53(b))

13. ☐ Deletion of Inventor(s)
This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. §1.53(d)(4).
☐ DELETE the following inventor(s) named in the prior nonprovisional application:

☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
14. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0311, Ref. No. 17810-513:
☒ Fees required under 37 C.F.R. §1.16;
☒ Fees required under 37 C.F.R. §1.17;
☒ Fees required under 37 C.F.R. §1.18.
15. ☒ Return Receipt Postcard Enclosed.
16. ☐ Other Documents Enclosed:
☐ Change of Attorney Address In Application.
☒ Limited Recognition under 37 C.F.R. § 10.9(b) for Michel Morency.

Respectfully submitted,



Ivor R. Elrifi, Reg. No. 39,529
Michel Morency, Limited Recognition
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Dated: October 20, 2000

TRADOCS:1387182.1(TQC%01!.DOC)

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE

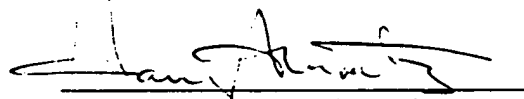
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LIMITED RECOGNITION UNDER 37 CFR §10.9(b)

Michel Morency is hereby given limited recognition under 37 CFR §10.9(b), as an employee of Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C., to prepare and prosecute patent applications and to represent patent applicants wherein the patent applicants are clients of Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C., and wherein a registered practitioner who is a member of Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C. is the attorney or agent of record. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Michel Morency ceases to lawfully reside in the United States; (ii) Michel Morency's employment with the Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C., law firm ceases or is terminated; or (iii) Michel Morency ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: January 4, 2001


Harry I. Moatz, Acting Director
Office of Enrollment and Discipline

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